

Welcome to:

We will start in a few minutes

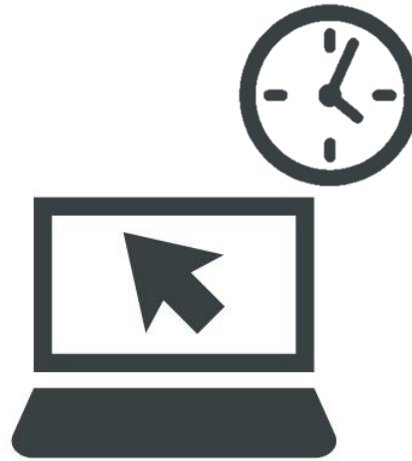


Assured Managed
Services and
Accounts
Closedown support

A bit about us...



... and a bit
about logistics



Chat panel

How can we talk?



During the session we will ...

- Describe the different levels of support available to you from CIPFA/EY to help you in your closedown process
- Provide more detail on the Assured Managed Services option
- Demonstrate the CIPFA Closedown (a.k.a. Big Red Button) software tool



CIPFA

The Chartered Institute of
Public Finance & Accountancy

Let's get started

How can we help?



EY & CIPFA Alliance

- Launched at CIPFA conference in July 2016
- Bringing together a unique mix of private and public sector expertise
- To support modern financial management in the public sector
- Release scarce internal professional resources to provide front-line analysis

EY & CIPFA Alliance – the offering

Three phases available:

- Assured monthly management reporting
- Production and analysis of the extended outturn
- Closedown support

CIPFA

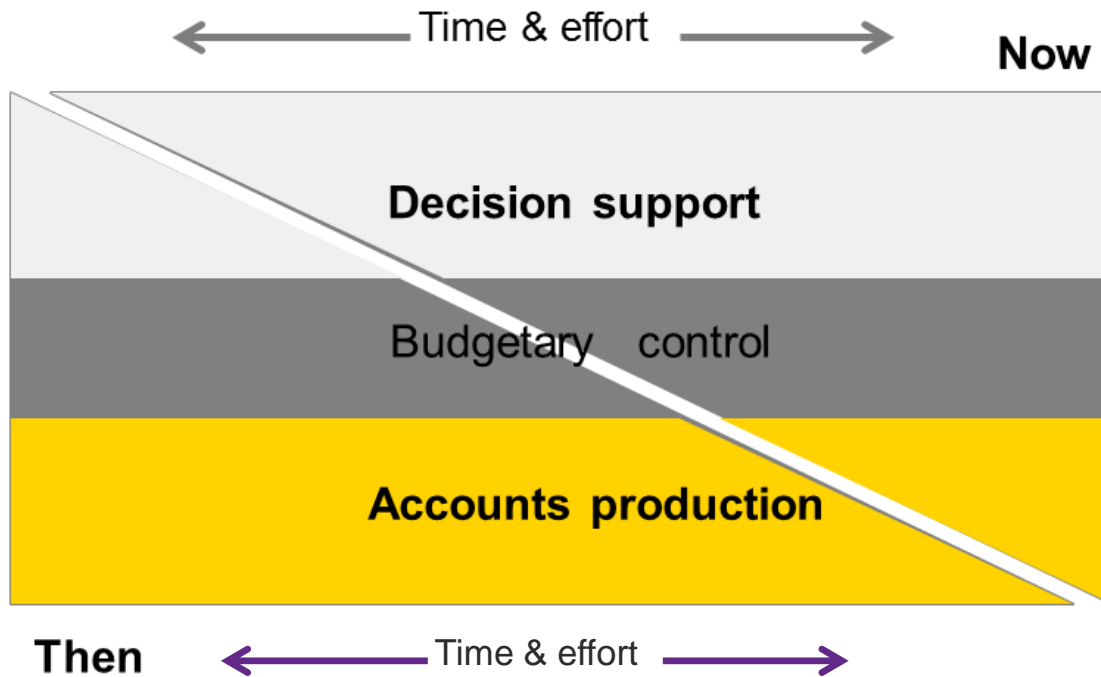
The Chartered Institute of
Public Finance & Accountancy

Assured Managed services

A deeper look



Supporting finance



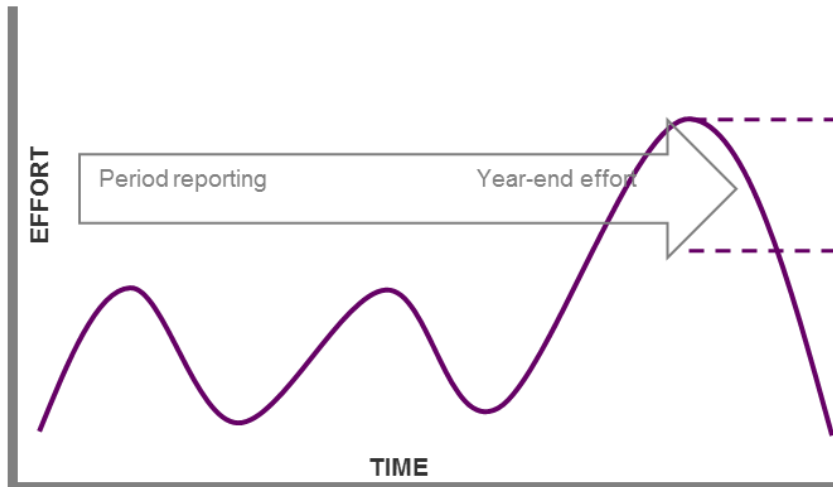
This exciting collaboration recognises the need to work with and optimise financial and management reporting processes at a time when the focus of finance is changing from production to service management and into translation of the key priorities and outcomes.

Periodic reporting, smarter accounting

Resource demand

Creating the final outturn often takes significant input.

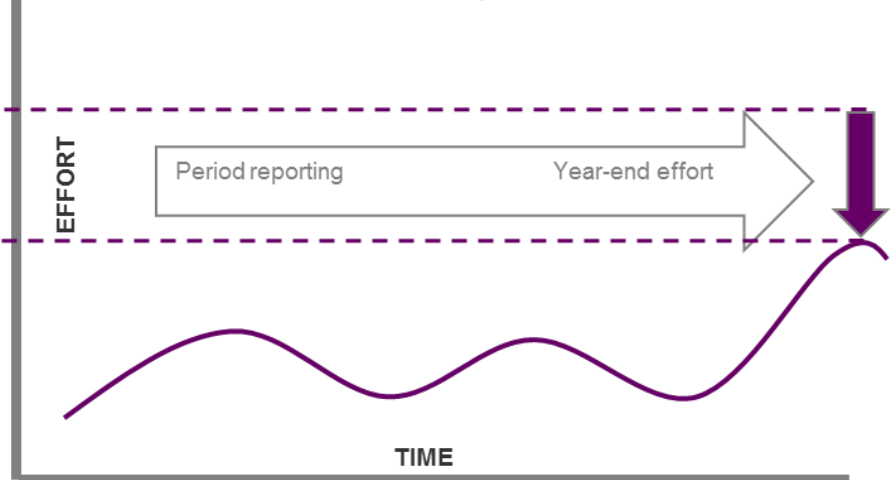
The periodic reporting cycle may add to the pain.



Smoothing the effort.

Assurance support reduces audit interference.

Aim to 'flat line' the input.



CIPFA

The Chartered Institute of
Public Finance & Accountancy

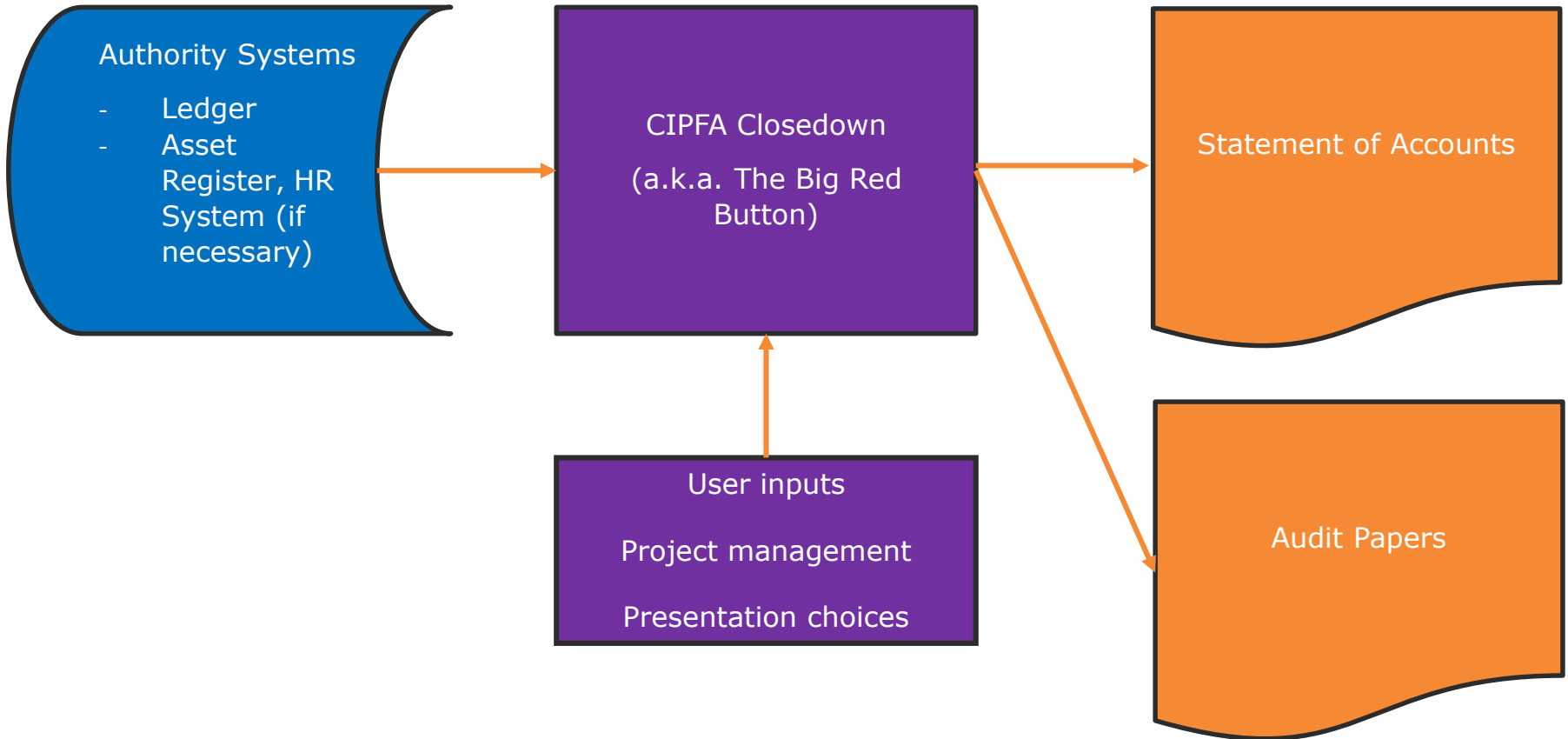
CIPFA Closedown

a.k.a the “Big Red Button”

Key Benefits and Design

- Reducing staff time on closedown and audit
 - Automated data flows wherever possible
 - Code compliance enforced
 - System validations
 - Flexibility where justified
- Project management and workflow mechanisms
- Capturing full audit trail and evidence in one process

Design Overview



What will implementation look like?

- Phase 1 - consultancy work:
 - Mapping your systems to our Chart of Accounts
 - Identifying ways to improve the automated flow
 - Determining user access requirements
- Phase 2 - system implementation:
 - Training for systems accountants/super users
 - Training for other users
 - Joint configuration
- Phase 3 – ongoing support:
 - Annual updates
 - Helpdesk function

Contact us

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01926 810111

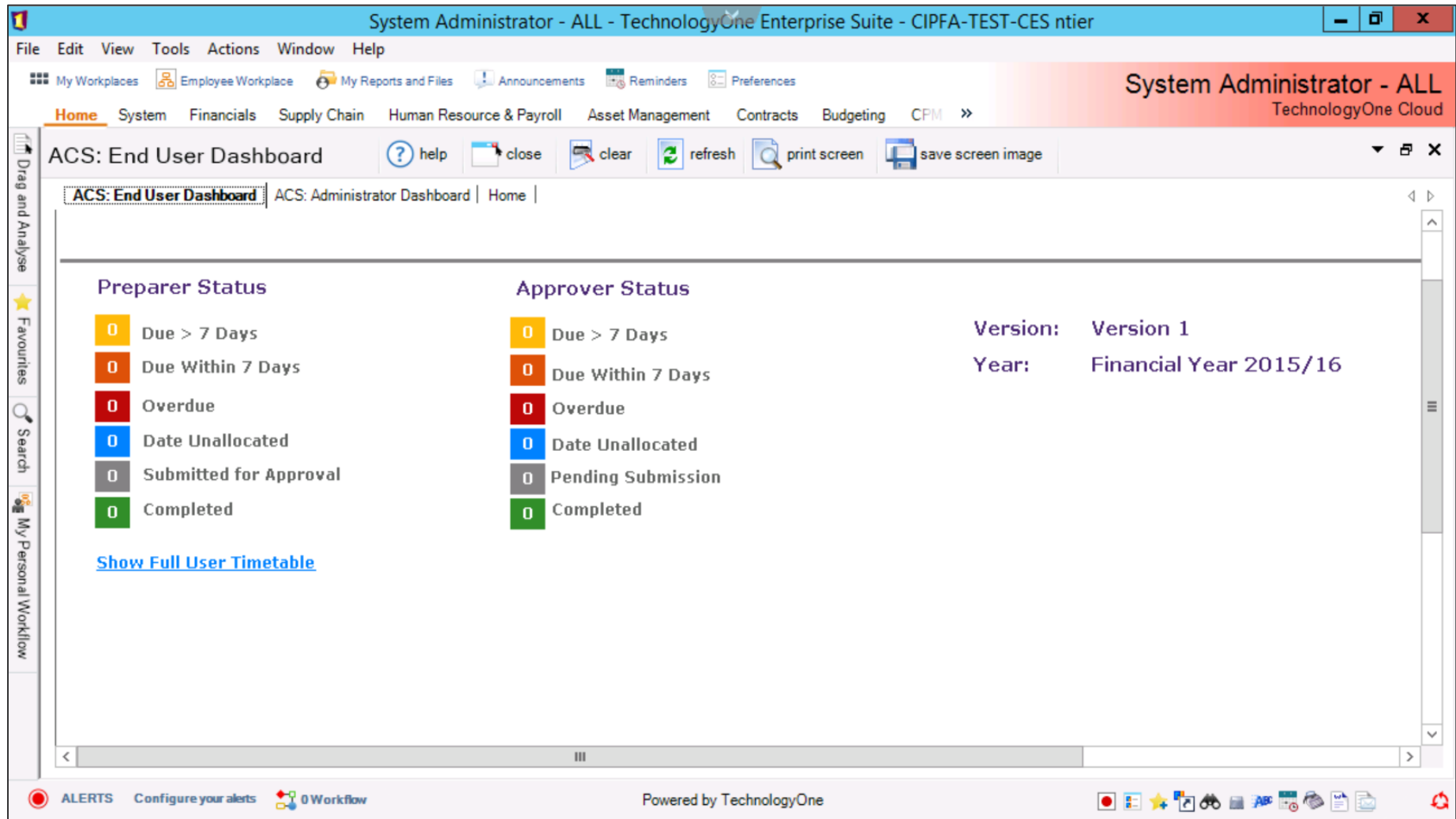


David Smith

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Dashboards – End User



System Administrator - ALL - TechnologyOne Enterprise Suite - CIPFA-TEST-CES ntier

File Edit View Tools Actions Window Help

My Workplaces Employee Workplace My Reports and Files Announcements Reminders Preferences

System Administrator - ALL
TechnologyOne Cloud

Home System Financials Supply Chain Human Resource & Payroll Asset Management Contracts Budgeting CPM >>

ACS: End User Dashboard help close clear refresh print screen save screen image

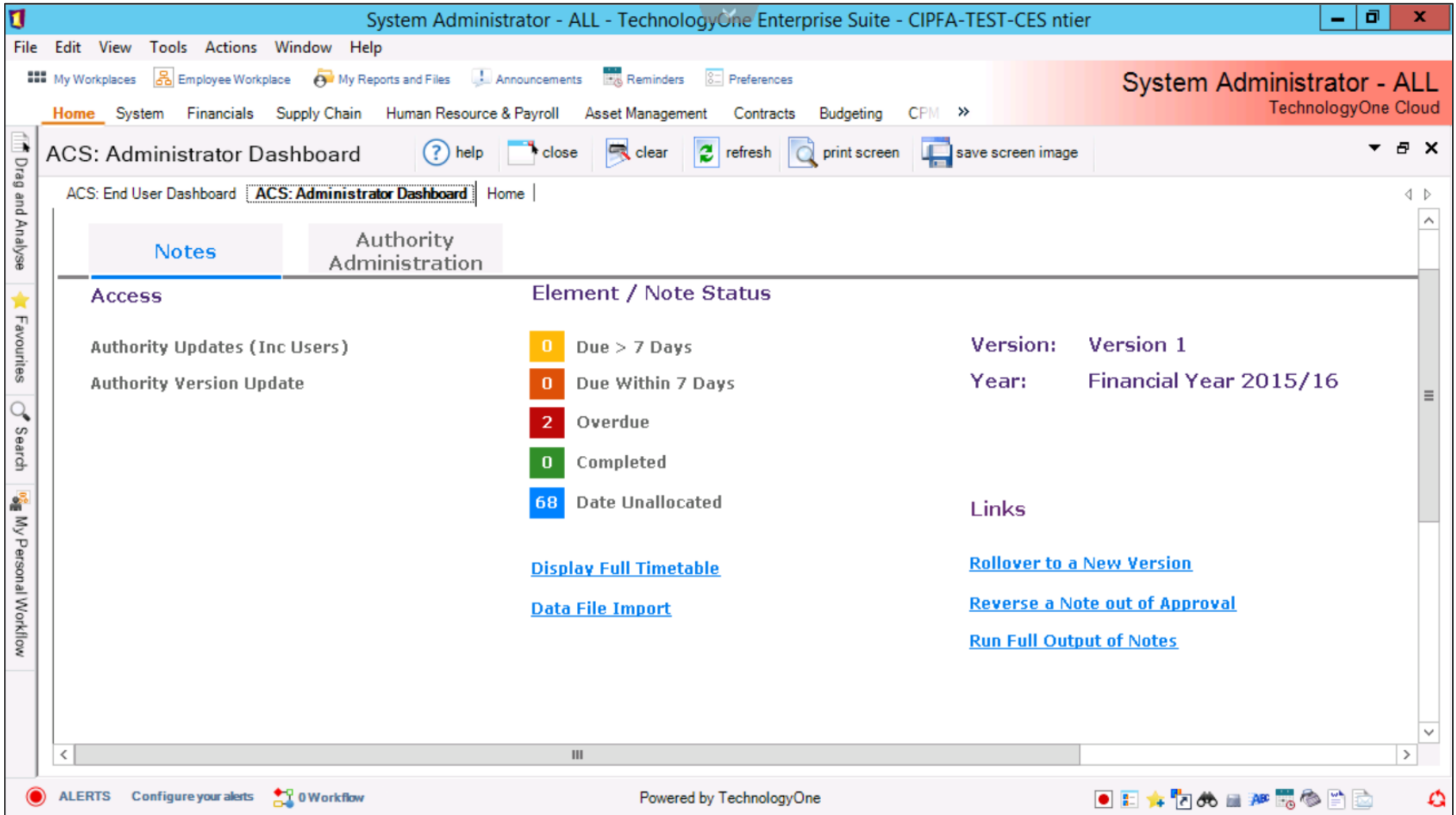
ACS: End User Dashboard | ACS: Administrator Dashboard | Home

Preparer Status		Approver Status		Version:	Version 1
0	Due > 7 Days	0	Due > 7 Days	Year:	Financial Year 2015/16
0	Due Within 7 Days	0	Due Within 7 Days		
0	Overdue	0	Overdue		
0	Date Unallocated	0	Date Unallocated		
0	Submitted for Approval	0	Pending Submission		
0	Completed	0	Completed		

[Show Full User Timetable](#)

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Dashboards - Administrator



System Administrator - ALL - TechnologyOne Enterprise Suite - CIPFA-TEST-CES ntier

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ACS: Administrator Dashboard help close clear refresh print screen save screen image

ACS: End User Dashboard ACS: Administrator Dashboard Home

Notes Authority Administration

Access	Element / Note Status	Version:	Year:
Authority Updates (Inc Users)	0 Due > 7 Days	Version 1	Financial Year 2015/16
Authority Version Update	0 Due Within 7 Days		
	2 Overdue		
	0 Completed		
	68 Date Unallocated		

Links

- [Display Full Timetable](#)
- [Data File Import](#)
- [Rollover to a New Version](#)
- [Reverse a Note out of Approval](#)
- [Run Full Output of Notes](#)

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Set up choices

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Maintain help back home close retrieve

Cash and Cash Equivalents Version 1 - Financial Year 2015/16 (Maintain)
Planning Administrator (Maintain)
System Administration Console

Authority Version

Build Auth - Vers 1 Fin Year 2015_16 Admin Mode

Authority Version Setup **Notes** Current Year Source Balances | Prior Year Source Data | Prior Year 2 Source Data | Working Papers | Elements for Reporting

Drag a column header here to group by that column

Statement / Note	Description	Note Required	Authority Number
▶ 01	Accounting Policies	<input checked="" type="checkbox"/>	01
02	Accounting Standards Issued, Not Adopted	<input checked="" type="checkbox"/>	02
03	Critical Judgements in Applying Accounting Policies	<input checked="" type="checkbox"/>	03
04	Assumptions Made about the Future and Other Major Sourc...	<input checked="" type="checkbox"/>	04
05	Material Items of Income and Expense	<input checked="" type="checkbox"/>	05
06	Events After the Balance Sheet Date	<input type="checkbox"/>	99
07	Adjustments between Accounting Basis and Funding Basis u...	<input checked="" type="checkbox"/>	07
08	Transfers to/from Earmarked Reserves	<input checked="" type="checkbox"/>	08
09	Other Operating Expenditure	<input checked="" type="checkbox"/>	09
10	Financing and Investment Income and Expenditure	<input checked="" type="checkbox"/>	10
11	Taxation and Non-Specific Grant Income	<input checked="" type="checkbox"/>	11
12	Property, Plant and Equipment	<input checked="" type="checkbox"/>	12
12_SA	Surplus Assets	<input type="checkbox"/>	99
13	Heritage Assets	<input checked="" type="checkbox"/>	13
14	Investment Properties	<input checked="" type="checkbox"/>	14

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Data mapping

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Authority Version

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Authority Version Setup | Notes **Current Year Source Balances** | Prior Year Source Data | Prior Year 2 Source Data | Working Papers | Elements for Reporting

Drag a column header here to group by that column

Authority Account	Authority Account Description	Mapped Account	Mapped Account Description	Current Source Data Amount	Planned Adjustments	Planned Source
A20F35	Property	RV10014	Line 14 - Service Expenditure	3,846,000.00	0.00	3,846,000.00
A20F45	IT	RV10012	Line 12 - Service Expenditure	3,867,000.00	0.00	3,867,000.00
		TM10091	Loans and receivables - Current debtors	4,153,000.00	0.00	4,153,000.00
A20F15	Finance	RV10011	Line 11 - Service Expenditure	4,462,000.00	0.00	4,462,000.00
		CA10529	GF Reclassifications & Transfers - gross - Infrastr...	4,540,000.00	0.00	4,540,000.00
		CA11559	Minimum lease payments - lessee - Later than 5 ...	4,802,000.00	0.00	4,802,000.00
		CA10495	GF Depreciation written out on Revaluation Re...	4,897,000.00	0.00	4,897,000.00
		CA11558	Minimum lease payments - lessee - Later than 1 ...	5,051,000.00	0.00	5,051,000.00
		CP10526	Payable after twenty years - SCA Payment for S...	5,083,000.00	0.00	5,083,000.00
		CP10546	Payable after twenty years - SCA Reimburseme...	5,398,000.00	0.00	5,398,000.00
BS01A25	Total ST Deposits - cash	TM10753	Short Term Deposits - Cash and Cash Equivale...	5,562,000.00	0.00	5,562,000.00
A15	Fire and Rescue	RV10004	Line 4 - Service Expenditure	5,678,000.00	0.00	5,678,000.00
A19	Democracy and Community Engagement	RV10010	Line 10 - Service Expenditure	5,807,000.00	0.00	5,807,000.00
				(196,898,000.00)	1,482,000.00	(195,416,000.00)

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Note overview

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Maintain help back home close retrieve

Build Auth - Vers 1 Fin Year 2015 16 (Maintain)
Planning Administrator (Maintain)
System Administration Console

Authority Note

Cash and Cash Equivalents Version 1 - Financial Year 2015/16 Admin Mode

Overview Validation Publication Text Process Notes and Evidence Guidance Working Paper Note Setup Audit

Overview

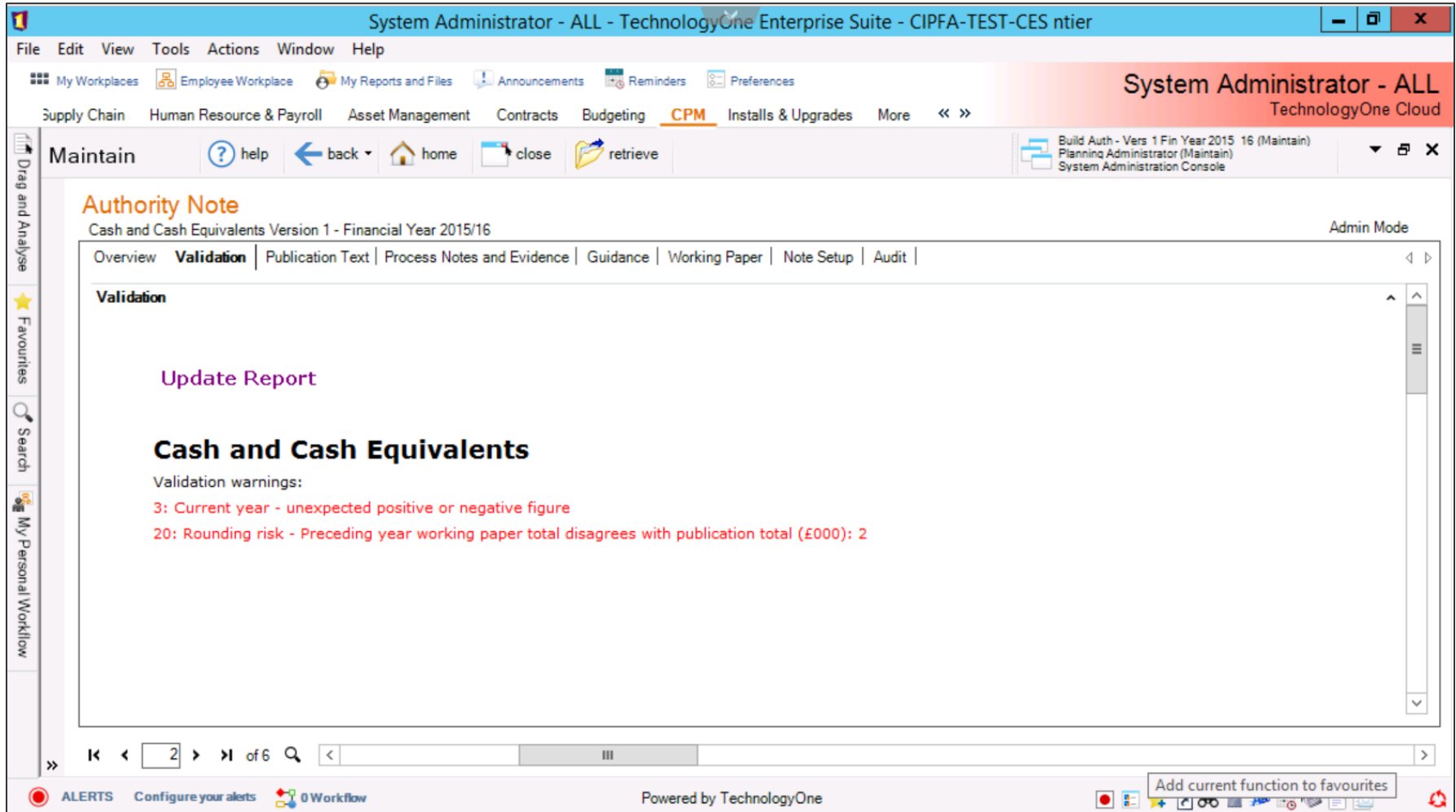
Update Report

31 March 2015		31 March 2016	
	£		£
3,613,500.00	Cash and Bank balances	4,015,000.00	
418,500.00	Short Term Investments	465,000.00	
5,005,800.00	Short Term Deposits	5,562,000.00	
(2,129,400.00)	Bank Overdraft	2,366,000.00	
6,908,400.00	Total Cash and Cash Equivalents	12,408,000.00	

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Note validations

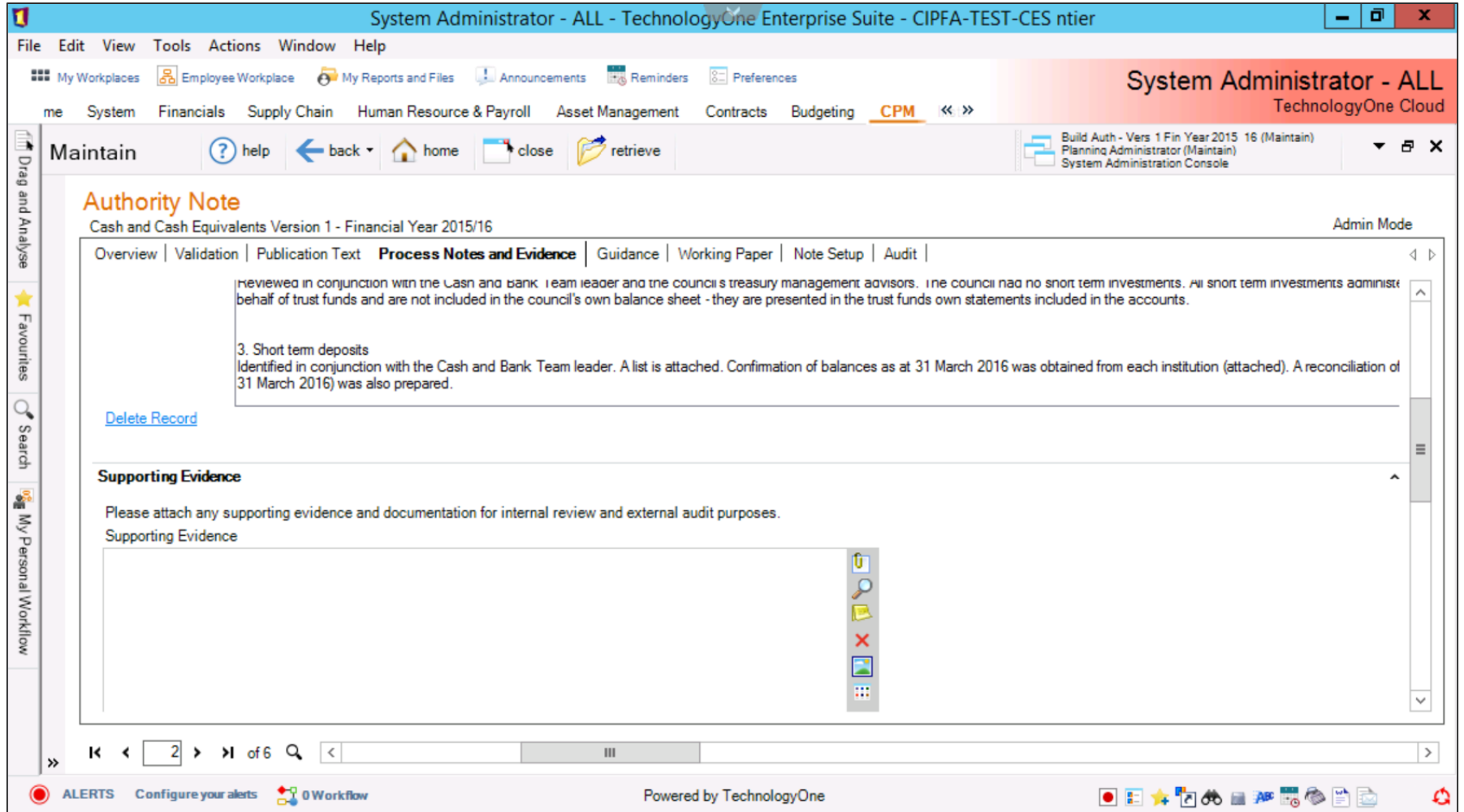


The screenshot displays the 'System Administrator - ALL' interface for 'TechnologyOne Enterprise Suite - CIPFA-TEST-CES ntier'. The main content area is titled 'Authority Note' for 'Cash and Cash Equivalents Version 1 - Financial Year 2015/16'. The 'Validation' tab is active, showing a list of validation warnings:

- 3: Current year - unexpected positive or negative figure
- 20: Rounding risk - Preceding year working paper total disagrees with publication total (£000): 2

The interface includes a top menu bar with 'File', 'Edit', 'View', 'Tools', 'Actions', 'Window', and 'Help'. A secondary menu bar contains 'My Workplaces', 'Employee Workplace', 'My Reports and Files', 'Announcements', 'Reminders', and 'Preferences'. A navigation bar below features 'Supply Chain', 'Human Resource & Payroll', 'Asset Management', 'Contracts', 'Budgeting', 'CPM', 'Installs & Upgrades', and 'More'. The left sidebar contains 'Maintain', 'Drag and Analyse', 'Favourites', 'Search', and 'My Personal Workflow'. The bottom status bar shows 'ALERTS', 'Configure your alerts', '0 Workflow', and 'Powered by TechnologyOne'.

Process evidence



System Administrator - ALL - TechnologyOne Enterprise Suite - CIPFA-TEST-CES ntier

File Edit View Tools Actions Window Help

My Workplaces Employee Workplace My Reports and Files Announcements Reminders Preferences

System Financials Supply Chain Human Resource & Payroll Asset Management Contracts Budgeting **CPM** << >>

Maintain help back home close retrieve

Build Auth - Vers 1 Fin Year 2015 16 (Maintain)
Planning Administrator (Maintain)
System Administration Console

Authority Note

Cash and Cash Equivalents Version 1 - Financial Year 2015/16 Admin Mode

Overview | Validation | Publication Text **Process Notes and Evidence** | Guidance | Working Paper | Note Setup | Audit

reviewed in conjunction with the Cash and bank team leader and the council's treasury management advisors. The council had no short term investments. All short term investments administered on behalf of trust funds and are not included in the council's own balance sheet - they are presented in the trust funds own statements included in the accounts.

3. Short term deposits
Identified in conjunction with the Cash and Bank Team leader. A list is attached. Confirmation of balances as at 31 March 2016 was obtained from each institution (attached). A reconciliation of 31 March 2016) was also prepared.

[Delete Record](#)

Supporting Evidence

Please attach any supporting evidence and documentation for internal review and external audit purposes.

Supporting Evidence

2 of 6

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